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October 18, 2017

DEANS  
INTERIM VICE CHANCELLOR CAMERON CARTER

Re: Patent Fund Allocations for 2017-18

Dear Colleagues,

I am writing to provide information about patent revenue and allocate patent funds received by campus in 2016-17. The campus received \$2.9 million in net patent revenue and \$787,000 in Research Share, an increase of approximately \$750,000 compared to the prior year. Campus will be allocating \$2.6 million to the Schools and Colleges, as outlined on the following attachments. The Office of Research will receive \$559,000 and central campus will use the remaining \$559,000 to support research-related campus priorities.

This year's distribution process is consistent with last year's methodology and is outlined in BIA's whitepaper, "Allocating Patent Revenues: Transitions to Align with the Incentive-Based Budget Model," available on BIA's website.

The Bayh-Dole Act requires that net revenue generated from University Technology Transfer activities be directed to support research and education. Please make sure that your expenditures of these resources are consistent with this federal requirement.

Sincerely,

A handwritten signature in black ink that reads "Ralph J. Hexter".

Ralph J. Hexter  
Provost and Executive Vice Chancellor

Attachment

- c: Assistant Deans  
Dushyant Pathak, Associate Vice Chancellor-Office of Research  
Delanda Buchanan, Executive Director of Business & Finance-Office of Research  
Kelly Ratliff, Interim Leader-Finance, Operations & Administration  
Anissa Nachman, Director of Administrative Budget and Operations-BIA  
Joe Borges, Principal Budget Analyst-BIA

## UCD Net Patent Revenue Allocations Summary

Campus Net Patent Revenue Summary					
Category	2014-15	2015-16	2016-17	2017-18	Change 17/18 v 16/17
Adj Gross Income from Royalties & Fees	\$12,133,627	\$11,433,226	\$11,923,357	\$13,403,186	\$1,479,829
Legal and Other Direct Expenses**	-\$2,842,292	-\$2,965,566	-\$3,962,208	-\$4,166,657	-\$204,449
Reimbursements from Licensees	\$1,373,343	\$1,103,910	\$1,126,565	\$1,415,218	\$288,653
IAS Operational Expenses (OP)	-\$249,563	-\$257,491	-\$289,803	-\$288,626	\$1,177
Inventor Shares	-\$4,685,487	-\$4,691,575	-\$4,355,669	-\$4,585,670	-\$230,001
Research Share*	-\$458,161	-\$700,974	-\$647,656	-\$730,932	-\$83,276
General Fund Share	-\$1,494,798	-\$1,219,999	-\$1,183,011	-\$1,680,587	-\$497,576
Strawberry Portfolio Management (UCD)	-\$199,602	-\$205,328	-\$224,542	-\$228,975	-\$4,433
Strawberry Research Fund	-\$241,448	-\$184,806	-\$142,342	-\$197,201	-\$54,859
<b>Net Patent Revenue to Campus:</b>	<b>\$3,335,619</b>	<b>\$2,311,397</b>	<b>\$2,244,691</b>	<b>\$2,939,756</b>	<b>\$695,065</b>
<b>Research Share to Campus*:</b>	<b>\$700,974</b>	<b>\$647,656</b>	<b>\$730,932</b>	<b>\$787,227</b>	<b>\$56,295</b>
<b>Total to Distribute:</b>	<b>\$4,036,593</b>	<b>\$2,959,053</b>	<b>\$2,975,623</b>	<b>\$3,726,983</b>	\$751,360
To OR:	\$605,489	\$443,858	\$446,343	\$559,047	\$112,704
To Provost:	\$605,489	\$443,858	\$446,343	\$559,047	\$112,704
To Units:	\$2,825,615	\$2,071,337	\$2,082,936	\$2,608,888	\$525,952
<b>General Fund Share Distribution</b>	<b>\$1,494,798</b>	<b>\$1,219,999</b>	<b>\$1,183,011</b>	<b>\$1,680,587</b>	<b>\$497,576</b>
*Research Share amounts do not tie because they reflect two different fiscal years.					
**Includes \$656k one-time impact of patent related legal expenses paid centrally during FY16-17					

School/College Patent Allocation Summary					
School/College	2014-15	2015-16	2016-17	2017-18	Change 17/18 v 16/17
College of Biological Sci	\$168,900	\$149,300	\$61,300	\$70,400	\$9,100
College of Ag & Envr Sci	\$1,941,700	\$1,454,700	\$1,598,600	\$1,733,600	\$135,000
CAES & COE Partnership	\$1,100	\$6,800	\$5,300	\$18,700	\$13,400
College of Engineering	\$311,700	\$121,100	\$91,800	\$220,200	\$128,400
Div of Math & Phys Sci	\$9,800	\$21,100	\$8,400	\$10,500	\$2,100
Div of HArCS	\$3,800	\$5,600	\$2,800	\$8,600	\$5,800
School of Medicine	\$167,800	\$70,500	\$55,000	\$105,600	\$50,600
School of Veterinary Med	\$76,300	\$35,400	\$48,900	\$37,300	-\$11,600
Office of Research	\$5,000	\$5,300	\$35,000	\$2,600	-\$32,400
Ag and Natural Resources (ANR)	\$135,100	\$200,600	\$174,500	\$401,000	\$226,500
<b>Total</b>	<b>\$2,821,200</b>	<b>\$2,070,400</b>	<b>\$2,081,600</b>	<b>\$2,608,500</b>	<b>\$526,900</b>

## UCD Net Patent Revenue Allocations To Schools/Colleges

School/College	Department	Distribution Totals			
		2014-15	2015-16	2016-17	2017-18
BIOS	Evolution and Ecology	\$4,900	\$0		
BIOS	Microbiology & Molecular Genetics	\$22,300	\$12,300	\$11,400	\$10,500
BIOS	Molecular & Cellular Biology	\$6,000	\$6,700	\$4,000	\$2,700
BIOS	Neurobiology, Physiology & Behavior	\$46,000	\$17,600	\$8,400	\$6,300
BIOS	Plant Biology	\$39,000	\$67,900	\$19,100	\$29,800
BIOS	Dean	\$50,700	\$44,800	\$18,400	\$21,100
<b>Subtotal BIOS</b>		<b>\$168,900</b>	<b>\$149,300</b>	<b>\$61,300</b>	<b>\$70,400</b>
CAES	Animal Science	\$1,300	\$0	\$0	\$0
CAES	Entomology	\$0	\$0	\$900	\$0
CAES	Environmental Toxicology	\$1,600	\$1,900	\$1,200	\$1,400
CAES	Food Science and Technology	\$1,300	\$3,300	\$1,200	\$13,300
CAES	Land, Air & Water Resources	\$0	\$0	\$700	\$1,300
CAES	Nutrition	\$0	\$0	\$2,700	\$0
CAES	Plant Pathology	\$0	\$0	\$1,400	\$2,500
CAES	Plant Sciences	\$1,339,000	\$991,700	\$1,099,700	\$1,183,700
CAES	Textiles & Clothing	\$14,900	\$9,000	\$11,100	\$6,800
CAES	Viticulture and Enology	\$0	\$12,400	\$0	\$4,500
CAES	Dean	\$583,600	\$436,400	\$479,700	\$520,100
<b>Subtotal CAES</b>		<b>\$1,941,700</b>	<b>\$1,454,700</b>	<b>\$1,598,600</b>	<b>\$1,733,600</b>
CAES/COE	Bio and Ag Engineering	\$800	\$4,800	\$3,700	\$13,100
CAES/COE	Dean	\$300	\$2,000	\$1,600	\$5,600
<b>Subtotal CAES/COE</b>		<b>\$1,100</b>	<b>\$6,800</b>	<b>\$5,300</b>	<b>\$18,700</b>
COE	Chemical Engineering & Materials Science	\$1,500	\$1,200	\$700	\$1,800
COE	Civil & Environmental Engineering	\$0	\$0	\$0	\$5,800
COE	Computer Science	\$10,300	\$9,300	\$13,200	\$15,300
COE	Electrical & Computer Engineering	\$170,100	\$53,600	\$28,500	\$14,200
COE	Mechanical and Aerospace Engineering	\$36,300	\$20,700	\$22,000	\$117,000
COE	Dean	\$93,500	\$36,300	\$27,400	\$66,100
<b>Subtotal COE</b>		<b>\$311,700</b>	<b>\$121,100</b>	<b>\$91,800</b>	<b>\$220,200</b>
MPS	Chemistry	\$6,100	\$14,800	\$5,900	\$7,300
MPS	Math	\$800	\$0	\$0	\$0
MPS	Dean	\$2,900	\$6,300	\$2,500	\$3,200
<b>Subtotal MPS</b>		<b>\$9,800</b>	<b>\$21,100</b>	<b>\$8,400</b>	<b>\$10,500</b>
HaRCS	California Lighting Center	\$2,700	\$3,900	\$2,000	\$6,000
HaRCS	Dean	\$1,100	\$1,700	\$800	\$2,600
<b>Subtotal HaRCS</b>		<b>\$3,800</b>	<b>\$5,600</b>	<b>\$2,800</b>	<b>\$8,600</b>

## UCD Net Patent Revenue Allocations To Schools/Colleges

School/College	Department	Distribution Totals			
		2014-15	2015-16	2016-17	2017-18
SOM	Human Anatomy & Cell Biology	\$0	\$0	\$1,700	\$2,300
SOM	Internal Medicine	\$26,600	\$29,800	\$13,000	\$30,700
SOM	Neurology	\$4,200	\$4,800	\$9,000	\$4,800
SOM	Ophthalmology	\$7,300	\$2,800	\$9,400	\$28,600
SOM	Otolaryngology	\$0	\$2,300	\$800	\$1,600
SOM	Pathology	\$2,200	\$1,200	\$1,300	\$2,500
SOM	Pharmacology	\$8,200	\$8,200	\$2,900	\$3,400
SOM	Radiology	\$68,500	\$0	\$0	\$0
SOM	Dean	\$50,800	\$21,400	\$16,900	\$31,700
<b>Subtotal SOM</b>		<b>\$167,800</b>	<b>\$70,500</b>	<b>\$55,000</b>	<b>\$105,600</b>
SVM	Anatomy, Physiology & Cellular Bio	\$3,900	\$9,600	\$1,300	\$1,400
SVM	Center for Companion Animal Health	\$3,000	\$0	\$0	\$0
SVM	Molecular Biosciences	\$0	\$0	\$1,400	\$2,100
SVM	Medicine & Epidemiology	\$40,000	\$13,900	\$23,800	\$19,600
SVM	Population Health & Reproduction	\$6,400	\$1,300	\$7,700	\$2,900
SVM	Dean	\$23,000	\$10,600	\$14,700	\$11,300
<b>Subtotal SVM</b>		<b>\$76,300</b>	<b>\$35,400</b>	<b>\$48,900</b>	<b>\$37,300</b>
OR	McClellan Nuclear Radiation	\$3,500	\$3,700	\$24,500	\$1,800
OR	VC-R	\$1,500	\$1,600	\$10,500	\$800
<b>Subtotal OR</b>		<b>\$5,000</b>	<b>\$5,300</b>	<b>\$35,000</b>	<b>\$2,600</b>
<b>ANR</b>		<b>\$135,100</b>	<b>\$200,600</b>	<b>\$174,500</b>	<b>\$401,000</b>
<b>Total</b>		<b>\$2,821,200</b>	<b>\$2,070,400</b>	<b>\$2,081,600</b>	<b>\$2,608,500</b>

\*Note that Department totals less than \$700 (Net total w/ Dean Share < \$1000) will not be allocated