

**2017-18 Indirect Cost Recovery Allocations Report**

2016-17 ICR Generated & Categorical Set-asides  
Final as of Sept 5<sup>th</sup>, 2017

Unit*	ICR Generated FY16/17	Categorical Set-asides							ICR Net of Set-asides	ICR Generated FY15/16	Yr-over-Yr Change
		ARRA	Garamendi GBSF (b)	Garamendi CCM (b)	CNPRC B&C (b)	CIRM (b)	Other (c)	Set-aside Total			
College of Ag & Envr Sci	\$18,616,511	\$0	(\$274,138)	\$0	\$0	\$0	\$0	(\$274,138)	\$18,342,373	\$19,085,702	(\$469,190)
College of Biological Sci	\$14,672,639	\$0	(\$3,208,709)	\$0	(\$62,172)	(\$48,431)	\$0	(\$3,319,311)	\$11,353,328	\$13,835,656	\$836,983
College of Engineering	\$13,149,759	\$0	(\$3,831,792)	\$0	(\$6,437)	(\$333,637)	(\$174,529)	(\$4,346,395)	\$8,803,364	\$13,424,493	(\$274,734)
Div of HArCS	\$399,312	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$399,312	\$399,791	(\$479)
Div of Math & Phys Sci	\$8,361,980	\$0	(\$25,485)	\$0	\$0	\$0	\$0	(\$25,485)	\$8,336,495	\$8,321,426	\$40,554
Div of Social Sci	\$2,922,304	\$0	(\$168)	\$0	(\$6,306)	\$0	\$0	(\$6,473)	\$2,915,831	\$3,054,095	(\$131,791)
School of Education	\$1,207,704	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,207,704	\$1,425,234	(\$217,530)
Grad School of Mgmt	\$71,997	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$71,997	\$50,464	\$21,532
School of Law	\$31,620	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$31,620	\$3,417	\$28,203
School of Medicine	\$45,958,582	\$0	(\$4,604,457)	(\$3,323,959)	(\$384,072)	(\$2,567,675)	(\$1,289,776)	(\$12,169,938)	\$33,788,644	\$42,132,853	\$3,825,729
School of Nursing	\$423,957	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$423,957	\$463,508	(\$39,552)
School of Veterinary Med	\$11,334,183	\$0	(\$87,437)	(\$1,951,969)	(\$269,269)	(\$6,113)	\$0	(\$2,314,789)	\$9,019,394	\$10,556,607	\$777,576
Office of Research	\$12,937,298	\$0	(\$114)	\$0	(\$2,648,960)	\$1,977	(\$24,033)	(\$2,671,129)	\$10,266,169	\$13,268,400	(\$331,101)
Graduate Studies	\$27,561	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$27,561	\$20,959	\$6,602
VC-Finance, Ops & Admin	\$36,990	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$36,990	\$36,218	\$772
Campus Pln, Dsgn & Fac	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Office of the Provost	\$97,691	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$97,691	\$66,347	\$31,343
VC-Student Affairs	\$351,913	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$351,913	\$375,303	(\$23,391)
Office of the Chancellor	\$2,638	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$2,638	\$3,969	(\$1,331)
University Library	\$3,532	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$3,532	\$124,916	(\$121,384)
<b>Grand Total</b>	<b>\$130,608,169</b>	<b>\$0</b>	<b>(\$12,032,299)</b>	<b>(\$5,275,928)</b>	<b>(\$3,377,216)</b>	<b>(\$2,953,878)</b>	<b>(\$1,488,337)</b>	<b>(\$25,127,658)</b>	<b>\$105,480,511</b>	<b>\$126,649,358</b>	<b>\$3,958,811</b>

(a) Based on the administrative home of the award

(b) Net of ARRA

(c) Includes Advance/CAMPOS, Shriners/OakPark and CBST

\*All Unit figures in this report are ICR funds reflective of those contracts and grants that are administered within the unit. This does not necessarily reflect the full amount of research funding or activity by faculty with appointments in the unit. Faculty may participate in research administered through an Organized Research Unit or other campus unit and that activity would be reflected there.

## 2017-18 Indirect Cost Recovery Allocations Report

### 2017-18 Campus Return Program (CRP)

Unit distributions of ICR generated in 2016-17

Unit	ICR Net of Set-asides	34% Unit Allocation FY17/18*	Prior Year "Base" FY16/17	CRP Budget Adjustment FY17/18**	Prior Year CRP Unit Allocations				
					2015-16	2014-15	2013-14	2012-13	2011-12
College of Ag & Envr Sci	\$18,342,373	\$6,236,407	\$6,996,000	<b>(\$760,000)</b>	\$6,719,000	\$5,998,000	\$5,445,000	\$5,284,000	\$2,092,000
College of Biological Sci	\$11,353,328	\$3,860,131	\$4,051,000	<b>(\$191,000)</b>	\$3,912,000	\$4,174,000	\$4,380,000	\$4,002,000	\$1,740,000
College of Engineering	\$8,803,364	\$2,993,144	\$3,527,000	<b>(\$534,000)</b>	\$3,432,000	\$3,177,000	\$3,264,000	\$2,984,000	\$1,395,000
Div of HArCS	\$399,312	\$135,766	\$148,000	<b>(\$12,000)</b>	\$240,000	\$325,000	\$321,000	\$272,000	\$59,000
Div of Math & Phys Sci	\$8,336,495	\$2,834,408	\$3,076,000	<b>(\$242,000)</b>	\$2,744,000	\$3,007,000	\$2,981,000	\$2,802,000	\$1,117,000
Div of Social Sci	\$2,915,831	\$991,382	\$1,125,000	<b>(\$134,000)</b>	\$971,000	\$1,079,000	\$1,060,000	\$921,000	\$394,000
School of Education	\$1,207,704	\$410,619	\$527,000	<b>(\$116,000)</b>	\$385,000	\$349,000	\$378,000	\$313,000	\$86,000
Grad School of Mgmt	\$71,997	\$24,479	\$19,000	<b>\$5,000</b>	\$23,000	\$33,000	\$25,000	\$0	\$0
School of Law	\$31,620	\$10,751	\$1,000	<b>\$10,000</b>	(\$1,000)	\$2,000	\$10,000	\$9,000	\$0
School of Medicine	\$33,788,644	\$11,488,139	\$11,359,000	<b>\$129,000</b>	\$10,765,000	\$10,883,000	\$11,081,000	\$9,882,000	\$5,206,000
School of Nursing	\$423,957	\$144,145	\$171,000	<b>(\$27,000)</b>	\$154,000	\$58,000	\$53,000	\$56,000	\$0
School of Veterinary Med	\$9,019,394	\$3,066,594	\$3,165,000	<b>(\$98,000)</b>	\$2,997,000	\$3,161,000	\$3,146,000	\$2,400,000	\$1,203,000
Office of Research	\$10,266,169	\$3,490,498	\$3,739,000	<b>(\$249,000)</b>	\$3,615,000	\$4,024,000	\$4,107,000	\$3,838,000	\$1,431,000
Graduate Studies	\$27,561	\$9,371	\$8,000	<b>\$1,000</b>	\$9,000	\$5,000	\$7,000	\$0	\$0
VC-Finance, Ops & Admin	\$36,990	\$12,576	\$13,000	<b>\$0</b>	\$0	\$7,000	\$0		
Campus Pln, Dsgn & Fac	\$0	\$0	\$0	<b>\$0</b>	\$12,000	\$26,000	\$47,000	\$37,000	\$0
Office of the Provost	\$97,691	\$33,215	\$25,000	<b>\$8,000</b>	\$47,000	\$4,000	\$1,000	\$0	\$0
VC-Student Affairs	\$351,913	\$119,650	\$139,000	<b>(\$19,000)</b>	\$100,000	\$153,000	\$113,000	\$53,000	\$0
Office of the Chancellor	\$2,638	\$897	\$1,000	<b>\$0</b>	\$3,000	\$2,000	\$0	\$4,000	\$0
University Library	\$3,532	\$1,201	\$46,000	<b>(\$45,000)</b>	\$24,000	\$2,000	\$0	\$1,000	\$0
<b>Grand Total</b>	<b>\$105,480,511</b>	<b>\$35,863,374</b>	<b>\$38,136,000</b>	<b>(\$2,274,000)</b>	<b>\$36,151,000</b>	<b>\$36,469,000</b>	<b>\$36,419,000</b>	<b>\$32,858,000</b>	<b>\$14,723,000</b>

\*CRP Model: 34% ICR Net of Set-asides allocated to generating Unit, 66% to Provost

\*\*Incremental budget allocations will be rounded to nearest 1000

## 2017-18 Indirect Cost Recovery Allocations Report

### Summary ICR Allocations 2017-18

#### Campus Return Program (CRP) & Distribution of Categorical Set-asides

Unit	CRP ICR Total \$**	Allocation of Categorical Set-asides*						Sub-Total Set-asides	Total ICR Allocated
		ARRA	Garamendi GBSF (b)**	Garamendi CCM (b)	CNPRC B&C (b)	CIRM (b)	Other (c)		
College of Ag & Envr Sci	\$6,236,407							\$0	\$6,236,407
College of Biological Sci	\$3,860,131		\$3,170,240			\$48,431		\$3,218,670	\$7,078,802
College of Engineering	\$2,993,144		\$3,348,506			\$333,637	\$174,529	\$3,856,672	\$6,849,816
Div of HARCS	\$135,766							\$0	\$135,766
Div of Math & Phys Sci	\$2,834,408							\$0	\$2,834,408
Div of Social Sci	\$991,382							\$0	\$991,382
School of Education	\$410,619							\$0	\$410,619
Grad School of Mgmt	\$24,479							\$0	\$24,479
School of Law	\$10,751							\$0	\$10,751
School of Medicine	\$11,488,139		\$3,708,708	\$2,825,365		\$2,567,675	\$1,096,309	\$10,198,057	\$21,686,196
School of Nursing	\$144,145							\$0	\$144,145
School of Veterinary Med	\$3,066,594			\$1,659,174		\$6,113		\$1,665,287	\$4,731,881
Office of Research	\$3,490,498				\$3,377,216	(\$1,977)	\$20,428	\$3,395,666	\$6,886,164
Graduate Studies	\$9,371							\$0	\$9,371
VC-Finance, Ops & Admin	\$12,576							\$0	\$12,576
Campus Pln, Dsgn & Fac	\$0							\$0	\$0
Office of the Provost	\$33,215							\$0	\$33,215
VC-Student Affairs	\$119,650							\$0	\$119,650
Office of the Chancellor	\$897							\$0	\$897
University Library	\$1,201							\$0	\$1,201
Provost Allocation	\$69,617,137	\$0	\$1,804,845	\$791,389			\$197,071	\$2,793,305	\$72,410,442
<b>Total</b>	<b>\$105,480,511</b>	<b>\$0</b>	<b>\$12,032,299</b>	<b>\$5,275,928</b>	<b>\$3,377,216</b>	<b>\$2,953,878</b>	<b>\$1,488,337</b>	<b>\$25,127,658</b>	<b>\$130,608,169</b>

\*Amounts reflect the total ICR amount for each category, not the incremental changes to budget.

\*\*Figures don't include deductions for Debt Service, Utilities or OMP. See separate "Garamendi" schedule for Net ICR distributed to Units.

## 2017-18 Indirect Cost Recovery Allocations Report

### Distribution of Indirect Cost Recovery for 2017-18

#### Garamendi Financed Buildings

Based on ICR generated during 2016-17

Final as of Sept 5th, 2017

	Genome and Biomedical Sciences Facility (GBSF)					Center for Comparative Medicine (CCM)			Provost		
	CBS Genome Center & Cores	COE Biomedical Engineering	School of Medicine	Vivarium	Total	School of Medicine	School of Veterinary Medicine	Total	GBSF	CCM	Total
ICR generated <sup>1</sup>	\$3,729,694	\$3,939,419	\$4,363,186	\$0	\$12,032,299	\$3,323,959	\$1,951,969	\$5,275,928	\$1,804,845	\$791,389	\$2,596,234
Less Provost share (15%)	(\$559,454)	(\$590,913)	(\$654,478)	\$0	(\$1,804,845)	(\$498,594)	(\$292,795)	(\$791,389)	\$1,804,845	\$791,389	\$2,596,234
Net ICR to unit	\$3,170,240	\$3,348,506	\$3,708,708	\$0	\$10,227,454	\$2,825,365	\$1,659,174	\$4,484,539			
Debt service	(\$1,520,662)	(\$918,346)	(\$977,834)	(\$301,158)	(\$3,718,000)	(\$423,376)	(\$248,624)	(\$672,000)			
Purchased utilities <sup>4</sup>	(\$323,117)	(\$308,582)	(\$412,561)	(\$73,791)	(\$1,118,051)	(\$90,346)	(\$53,055)	(\$143,401)			
Other OMP	(\$443,777)	(\$423,815)	(\$566,622)	(\$101,347)	(\$1,535,562)	(\$129,310)	(\$75,936)	(\$205,246)			
Debt service & OMP subtotal	(\$2,287,556)	(\$1,650,743)	(\$1,957,017)	(\$476,296)	(\$6,371,612)	(\$643,032)	(\$377,616)	(\$1,020,648)			
Unit share, net of debt service & OMP	\$882,684	\$1,697,763	\$1,751,691	(\$476,296)	\$3,855,842	\$2,182,333	\$1,281,558	\$3,463,891	\$1,804,845	\$791,389	\$2,596,234
Less deficit repayment <sup>2</sup>	(\$65,000)	(\$50,000)	\$0	\$0	(\$115,000)	\$0	\$0	\$0	\$115,000	\$0	\$115,000
Prior Yr. Utilities surplus/(deficit) <sup>3</sup>	\$25,553	\$24,403	\$32,626	\$5,836	\$88,417	(\$7,161)	(\$4,205)	(\$11,366)			
Support from the Provost's share	\$581,000	\$0	\$0	\$470,000	\$1,051,000	\$0	\$0	\$0	(\$1,051,000)	\$0	(\$1,051,000)
<b>Net Unit Share \$</b>	<b>\$1,424,000</b>	<b>\$1,672,000</b>	<b>\$1,784,000</b>	<b>\$0</b>	<b>\$4,880,000</b>	<b>\$2,175,000</b>	<b>\$1,277,000</b>	<b>\$3,452,000</b>	<b>\$869,000</b>	<b>\$791,000</b>	<b>\$1,660,000</b>
<b>Net Unit Share % of ICR Generated</b>	38.2%	42.4%	40.9%		40.6%	65.4%	65.4%	65.4%			
<b>PY Allocation</b>	<b>\$872,000</b>	<b>\$1,148,000</b>	<b>\$730,000</b>	<b>\$0</b>	<b>\$2,750,000</b>	<b>\$1,815,000</b>	<b>\$1,022,000</b>	<b>\$2,837,000</b>	<b>\$487,000</b>	<b>\$667,000</b>	<b>\$1,154,000</b>
<b>Incremental increase/(decrease)</b>	<b>\$552,000</b>	<b>\$524,000</b>	<b>\$1,054,000</b>	<b>\$0</b>	<b>\$2,130,000</b>	<b>\$360,000</b>	<b>\$255,000</b>	<b>\$615,000</b>	<b>\$382,000</b>	<b>\$124,000</b>	<b>\$506,000</b>

Notes:

<sup>1</sup>ICR generated is net of ARRA, CNPRC, CIRM, and CCM.

<sup>2</sup>GBSF deficit reconciliation between CBS, GC and BIA agreed to on June 3, 2016. Detailed repayment schedule included with this file (CBS/GC through FY 35-36) with reduced amount beginning FY 32-33, and COE/BME through FY 27-28).

<sup>3</sup>Effective with FY 16-17 ICR distribution, occupants responsible for utilities surplus/(deficit) from prior year.

<sup>4</sup>OP tax expense related to Utilities and OMP is allocated to the PUT account, therefore it is included in above totals